

## Client Classification as per Conduct of Business Module

**Please tick the appropriate classification:**

- i. I confirm having net assets of at least USD 500,000 (excluding value of primary residence); and
- ii. I confirm to have sufficient financial experience and understanding of relevant financial markets, products or transactions and any associated risks

**OR**

- i. I have been an Employee of a DFSA Authorised Firm in the previous two (2) years; and
- ii. I have sufficient experience and understanding of relevant financial markets, products or transactions and any associated risks

In addition, for non-individuals, if you meet one of the below criteria, please tick as appropriate:

- A Collective Investment Fund or a regulated Pension Fund; or
- An Authorised Firm, a Regulated Financial Institution or the management company of a regulated Pension Fund; or
- A properly constituted government, government agency, central bank or other national monetary authority of any country or jurisdiction; or
- A public authority or state investment body; or
- A supranational organisation whose members are either countries, central banks or national monetary authorities; or
- An Authorised Market Institution, regulated exchange or regulated clearing house; or
- A Body Corporate whose shares are listed or admitted to trading on any regulated exchange of an IOSCO member country; or
- A Body Corporate which has called up share capital of at least \$10,000,000; or
- Any other institutional investor whose main activity is to invest in financial instruments, including an entity dedicated to the securitisation of assets or other financial transactions

We understand your world

I hereby consent to being treated as a Professional Client and understand that I will not be afforded retail customer protections and compensation rights that may generally be available to me in other jurisdictions.

I confirm that the information provided by me above is accurate, correct, true and complete.

I acknowledge that HDFC DIFC has full discretion to approve or reject my application.

I undertake to notify HDFC DIFC in writing at any time of any changes in any of the particulars or information provided by me under this Agreement, and to further provide HDFC DIFC with any information or documents it may request from time to time.

I have read and understood the Terms and Conditions under which HDFC DIFC is willing to offer its services.

Client Name: \_\_\_\_\_

Client Telephone: \_\_\_\_\_

Client Email: \_\_\_\_\_

Client Address: \_\_\_\_\_

Place:

Date:

\_\_\_\_\_  
Client Signature