

We understand your world

Client Questionnaire: Investment Objective And Risk Tolerance

What is your investment objective? <i>(Please tick as appropriate)</i>	<input type="checkbox"/> Capital growth only
	<input type="checkbox"/> Capital growth and some income
	<input type="checkbox"/> Balance of capital growth and income
	<input type="checkbox"/> Income and some capital growth
	<input type="checkbox"/> Income only

What is your investment horizon, i.e., over what period of time do you expect or need to achieve your investment objective?	<input type="checkbox"/> Long Term: more than 7 years (please specify)
	<input type="checkbox"/> Medium Term: between 4 and 7 years
	<input type="checkbox"/> Short to Medium Term: between 1 and 3 years
	<input type="checkbox"/> Short Term: less than 1 year
	<i>(Please tick as appropriate)</i>

What is your risk tolerance? <i>(Please tick as appropriate)</i>	<input type="checkbox"/> Speculative: You will assume higher than normal risks to obtain higher than normal returns.
	<input type="checkbox"/> Aggressive: You will expose a greater proportion of the portfolio to risk to generate higher returns.
	<input type="checkbox"/> Moderate: You will expose a portion of the portfolio to higher risk to generate higher returns.
	<input type="checkbox"/> Conservative: You favour capital preservation over investment returns.

Are there any other requirements or relevant facts of which we should be aware?	
	<i>(Please specify)</i>

 Client Signature

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Comments:

Reviewed by: (Relationship Manager)	(Name)	(Signature)	(Date)
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Reviewed by: (Compliance Officer)	(Name)	(Signature)	(Date)
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